

# **PA Compact Model Legislation**

## **Special Note**

The following language must be enacted by a state to officially join the PA Compact.

No substantive changes should be made to the model language. Substantive changes may jeopardize the enacting state's participation in the compact.

The Council of State Governments National Center for Interstate Compacts reviews state Compact legislation to ensure consistency with the model language. Please direct any inquiries to Carl Sims at csims@csg.org.

#### PA LICENSURE COMPACT

## Section 1. Purpose

In order to strengthen access to Medical Services, and in recognition of the advances in the delivery of Medical Services, the Participating States of the PA Licensure Compact have allied in common purpose to develop a comprehensive process that complements the existing authority of State Licensing Boards to license and discipline PAs and seeks to enhance the portability of a License to practice as a PA while safeguarding the safety of patients. This Compact allows Medical Services to be provided by PAs, via the mutual recognition of the Licensee's Qualifying License by other Compact Participating States. This Compact also adopts the prevailing standard for PA licensure and affirms that the practice and delivery of Medical Services by the PA occurs where the patient is located at the time of the patient encounter, and therefore requires the PA to be under the jurisdiction of the State Licensing Board where the patient is located. State Licensing Boards that participate in this Compact retain the jurisdiction to impose Adverse Action against a Compact Privilege in that State issued to a PA through the procedures of this Compact. The PA Licensure Compact will alleviate burdens for military families by allowing active duty military personnel and their spouses to obtain a Compact Privilege based on having an

unrestricted License in good standing from a Participating State.

#### **Section 2. Definitions**

### In this Compact:

- A. "Adverse Action" means any administrative, civil, equitable, or criminal action permitted by a State's laws which is imposed by a Licensing Board or other authority against a PA License or License application or Compact Privilege such as License denial, censure, revocation, suspension, probation, monitoring of the Licensee, or restriction on the Licensee's practice.
- **B.** "Compact Privilege" means the authorization granted by a Remote State to allow a Licensee from another Participating State to practice as a PA to provide Medical Services and other licensed activity to a patient located in the Remote State under the Remote State's laws and regulations.
- **C.** "Conviction" means a finding by a court that an individual is guilty of a felony or misdemeanor offense through adjudication or entry of a plea of guilt or no contest to the charge by the offender
- **D.** "Criminal Background Check" means the submission of fingerprints or other biometric-based information for a License applicant for the purpose of obtaining that applicant's criminal history record information, as defined in 28 C.F.R. § 20.3(d), from the State's criminal history record repository as defined in 28 C.F.R. § 20.3(f).
- **E.** "Data System" means the repository of information about Licensees, including but not limited to License status and Adverse Actions, which is created and administered under

42 the terms of this Compact.

- **F.** "Executive Committee" means a group of directors and ex-officio individuals elected or appointed pursuant to Section 7.F.2.
- **G.** "**Impaired Practitioner**" means a PA whose practice is adversely affected by health-related condition(s) that impact their ability to practice.
  - H. "Investigative Information" means information, records, or documents received or generated by a Licensing Board pursuant to an investigation.
    - I. "Jurisprudence Requirement" means the assessment of an individual's knowledge of the laws and Rules governing the practice of a PA in a State.
      - **J.** "License" means current authorization by a State, other than authorization pursuant to a Compact Privilege, for a PA to provide Medical Services, which would be unlawful without current authorization.
  - K. "Licensee" means an individual who holds a License from a State to provide Medical Services as a PA.
    - L. "Licensing Board" means any State entity authorized to license and otherwise regulate PAs.
    - **M.** "Medical Services" means health care services provided for the diagnosis, prevention, treatment, cure or relief of a health condition, injury, or disease, as defined by a State's laws and regulations.
  - N. "Model Compact" means the model for the PA Licensure Compact on file with The Council of State Governments or other entity as designated by the Commission.
    - O. "Participating State" means a State that has enacted this Compact.
    - P. "PA" means an individual who is licensed as a physician assistant in a State. For purposes of this Compact, any other title or status adopted by a State to replace the term "physician assistant" shall be deemed synonymous with "physician assistant" and shall confer the same rights and responsibilities to the Licensee under the provisions of this Compact at the time of its enactment.
    - Q. "PA Licensure Compact Commission," "Compact Commission," or "Commission" mean the national administrative body created pursuant to Section 7.A of this Compact.
  - **R.** "Qualifying License" means an unrestricted License issued by a Participating State to provide Medical Services as a PA.
- **S.** "Remote State" means a Participating State where a Licensee who is not licensed as a PA is exercising or seeking to exercise the Compact Privilege.
  - T. "Rule" means a regulation promulgated by an entity that has the force and effect of law.
  - U. "Significant Investigative Information" means Investigative Information that a Licensing Board, after an inquiry or investigation that includes notification and an opportunity for the PA to respond if required by State law, has reason to believe is not groundless and, if proven true, would indicate more than a minor infraction.
  - V. "State" means any state, commonwealth, district, or territory of the United States.

82	Section	on 3. S	tate Participation in this Compact
83			
84	A.	То ра	articipate in this Compact, a Participating State shall:
85		1	. License PAs.
86			
87		2	. Participate in the Compact Commission's Data System.
88			
89		3	. Have a mechanism in place for receiving and investigating complaints against
90			Licensees and License applicants.
91			
92		4	. Notify the Commission, in compliance with the terms of this Compact and
93			Commission Rules, of any Adverse Action against a Licensee or License applicant
94			and the existence of Significant Investigative Information regarding a Licensee or
95			License applicant.
96			
97		5	. Fully implement a Criminal Background Check requirement, within a time frame
98			established by Commission Rule, by its Licensing Board receiving the results of a
99			Criminal Background Check and reporting to the Commission whether the License
100			applicant has been granted a License.
101			
102		6	. Comply with the Rules of the Compact Commission.
103		_	
104		7	. Utilize passage of a recognized national exam such as the NCCPA PANCE as a
105			requirement for PA licensure.
106		_	
107		8	. Grant the Compact Privilege to a holder of a Qualifying License in a
108			Participating State.
109	5	<b>N</b> 1 (1	
110	В.		ing in this Compact prohibits a Participating State from charging a fee for granting
111		the C	compact Privilege.
112	04: -	4 . 0	Assessment Bullette as
113	Section	on 4. C	Compact Privilege
114	A.	To ex	xercise the Compact Privilege, a Licensee must:
115		1	. Have graduated from a PA program accredited by the Accreditation Review
116			Commission on Education for the Physician Assistant, Inc. or other programs
117			authorized by Commission Rule.
118		2	. Hold current NCCPA certification.
119		3	. Have no felony or misdemeanor Conviction.
120		4	. Have never had a controlled substance license, permit, or registration suspended

121		or revoked by a State or by the United States Drug Enforcement Administration.
122		5. Have a unique identifier as determined by Commission Rule.
123		6. Hold a Qualifying License.
124 125		7. Have had no revocation of a License or limitation or restriction on any License currently held due to an adverse action.
126 127 128 129		8. If a Licensee has had a limitation or restriction on a License or Compact Privilege due to an Adverse Action, two years must have elapsed from the date on which the License or Compact Privilege is no longer limited or restricted due to the Adverse Action.
130 131 132 133 134 135		9. If a Compact Privilege has been revoked or is limited or restricted in a Participating State for conduct that would not be a basis for disciplinary action in a Participating State in which the Licensee is practicing or applying to practice under a Compact Privilege, that Participating State shall have the discretion not to consider such action as an Adverse Action requiring the denial or removal of a Compact Privilege in that State.
136 137		10. Notify the Compact Commission that the Licensee is seeking the Compact Privilege in a Remote State.
138 139 140		11. Meet any Jurisprudence Requirement of a Remote State in which the Licensee is seeking to practice under the Compact Privilege and pay any fees applicable to satisfying the Jurisprudence Requirement.
141 142		12. Report to the Commission any Adverse Action taken by a non-participating State within thirty (30) days after the action is taken.
143 144 145 146 147	unl of t Sta Lic	e Compact Privilege is valid until the expiration or revocation of the Qualifying License ess terminated pursuant to an Adverse Action. The Licensee must also comply with all the requirements of Subsection A above to maintain the Compact Privilege in a Remote ate. If the Participating State takes Adverse Action against a Qualifying License, the ensee shall lose the Compact Privilege in any Remote State in which the Licensee has Compact Privilege until all of the following occur:
149		The License is no longer limited or restricted; and
150 151		2. Two (2) years have elapsed from the date on which the License is no longer limited or restricted due to the Adverse Action.
152 153		ce a restricted or limited License satisfies the requirements of Subsection B.1 and 2,

in any Remote State.

155 D. For each Remote State in which a PA seeks authority to prescribe controlled substances, 156 the PA shall satisfy all requirements imposed by such State in granting or renewing such 157 authority. 158 Section 5. Designation of the State from Which Licensee is Applying for a Compact 159 160 Privilege 161 162 A. Upon a Licensee's application for a Compact Privilege, the Licensee shall identify to the 163 Commission the Participating State from which the Licensee is applying, in accordance 164 with applicable Rules adopted by the Commission, and subject to the following 165 requirements: 166 1. When applying for a Compact Privilege, the Licensee shall provide the 167 Commission with the address of the Licensee's primary residence and thereafter 168 shall immediately report to the Commission any change in the address of the 169 Licensee's primary residence. 170 2. When applying for a Compact Privilege, the Licensee is required to consent to 171 accept service of process by mail at the Licensee's primary residence on file with 172 the Commission with respect to any action brought against the Licensee by the 173 Commission or a Participating State, including a subpoena, with respect to any 174 action brought or investigation conducted by the Commission or a Participating 175 State. 176 177 **Section 6. Adverse Actions** 178 179 A. A Participating State in which a Licensee is licensed shall have exclusive power to 180 impose Adverse Action against the Qualifying License issued by that Participating State. 181 182 B. In addition to the other powers conferred by State law, a Remote State shall have the 183 authority, in accordance with existing State due process law, to do all of the following: 184 185 1. Take Adverse Action against a PA's Compact Privilege within that State to remove 186 a Licensee's Compact Privilege or take other action necessary under applicable 187 law to protect the health and safety of its citizens. 188 189 2. Issue subpoenas for both hearings and investigations that require the attendance 190 and testimony of witnesses as well as the production of evidence. Subpoenas 191 issued by a Licensing Board in a Participating State for the attendance and 192 testimony of witnesses or the production of evidence from another Participating 193 State shall be enforced in the latter State by any court of competent jurisdiction,

according to the practice and procedure of that court applicable to subpoenas

195 issued in proceedings pending before it. The issuing authority shall pay any 196 witness fees, travel expenses, mileage and other fees required by the service 197 statutes of the State in which the witnesses or evidence are located. 198 199 3. Notwithstanding paragraph 2, subpoenas may not be issued by a Participating 200 State to gather evidence of conduct in another State that is lawful in that other 201 State for the purpose of taking Adverse Action against a Licensee's Compact 202 Privilege or application for a Compact Privilege in that Participating State. 203 204 4. Nothing in this Compact authorizes a Participating State to impose discipline 205 against a PA's Compact Privilege or to deny an application for a Compact 206 Privilege in that Participating State for the individual's otherwise lawful practice in 207 another State. 208 209 C. For purposes of taking Adverse Action, the Participating State which issued the Qualifying 210 License shall give the same priority and effect to reported conduct received from any 211 other Participating State as it would if the conduct had occurred within the Participating 212 State which issued the Qualifying License. In so doing, that Participating State shall apply 213 its own State laws to determine appropriate action. 214 215 D. A Participating State, if otherwise permitted by State law, may recover from the affected 216 PA the costs of investigations and disposition of cases resulting from any Adverse Action 217 taken against that PA. 218 219 E. A Participating State may take Adverse Action based on the factual findings of a Remote 220 State, provided that the Participating State follows its own procedures for taking the 221 Adverse Action. 222 223 F. Joint Investigations 224 1. In addition to the authority granted to a Participating State by its respective State 225 PA laws and regulations or other applicable State law, any Participating State may 226 participate with other Participating States in joint investigations of Licensees. 227 2. Participating States shall share any investigative, litigation, or compliance 228 materials in furtherance of any joint or individual investigation initiated under this 229 Compact. 230 231 G. If an Adverse Action is taken against a PA's Qualifying License, the PA's Compact 232 Privilege in all Remote States shall be deactivated until two (2) years have elapsed after 233 all restrictions have been removed from the State License. All disciplinary orders by the 234 Participating State which issued the Qualifying License that impose Adverse Action 235 against a PA's License shall include a Statement that the PA's Compact Privilege is

236 237		deactivated in all Participating States during the pendency of the order.
238 239	H.	If any Participating State takes Adverse Action, it promptly shall notify the administrator of the Data System.
240 241	Sect	ion 7. Establishment of the PA Licensure Compact Commission
242 243 244 245 246	A.	The Participating States hereby create and establish a joint government agency and national administrative body known as the PA Licensure Compact Commission. The Commission is an instrumentality of the Compact States acting jointly and not an instrumentality of any one State. The Commission shall come into existence on or after the effective date of the Compact as set forth in Section 11.A.
247	В.	Membership, Voting, and Meetings
248 249 250 251		<ol> <li>Each Participating State shall have and be limited to one (1) delegate selected by that Participating State's Licensing Board or, if the State has more than one Licensing Board, selected collectively by the Participating State's Licensing Boards.</li> </ol>
252		2. The delegate shall be either:
253 254		<ul> <li>A current PA, physician or public member of a Licensing Board or PA Council/Committee; or</li> </ul>
255		b. An administrator of a Licensing Board.
256 257		<ol> <li>Any delegate may be removed or suspended from office as provided by the laws of the State from which the delegate is appointed.</li> </ol>
258 259		4. The Participating State Licensing Board shall fill any vacancy occurring in the Commission within sixty (60) days.
260 261 262 263 264 265		5. Each delegate shall be entitled to one (1) vote on all matters voted on by the Commission and shall otherwise have an opportunity to participate in the business and affairs of the Commission. A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telecommunications, video conference, or other means of communication.
266 267		<ol><li>The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in this Compact and the bylaws.</li></ol>
268		7. The Commission shall establish by Rule a term of office for delegates.
269	C.	The Commission shall have the following powers and duties:

270	1.	Establish a code of ethics for the Commission;
271	2.	Establish the fiscal year of the Commission;
272	3.	Establish fees;
273	4.	Establish bylaws;
274	5.	Maintain its financial records in accordance with the bylaws;
275 276	6.	Meet and take such actions as are consistent with the provisions of this Compact and the bylaws;
277 278 279	7.	Promulgate Rules to facilitate and coordinate implementation and administration of this Compact. The Rules shall have the force and effect of law and shall be binding in all Participating States;
280 281 282	8.	Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any State Licensing Board to sue or be sued under applicable law shall not be affected;
283	9.	Purchase and maintain insurance and bonds;
284 285	10.	Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a Participating State;
286 287 288 289 290	11.	Hire employees and engage contractors, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters;
291 292 293 294	12.	Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and receive, utilize and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest;
295 296 297	13.	Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety;
298 299	14.	Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed;
300	15.	Establish a budget and make expenditures;

301

16. Borrow money;

302	17. Appoint committees, including standing committees composed of members, State
303	regulators, State legislators or their representatives, and consumer
304	representatives, and such other interested persons as may be designated in this
305	Compact and the bylaws;
306 307	18. Provide and receive information from, and cooperate with, law enforcement agencies;
308	19. Elect a Chair, Vice Chair, Secretary and Treasurer and such other officers of the
309	Commission as provided in the Commission's bylaws.
310	<ol> <li>Reserve for itself, in addition to those reserved exclusively to the Commission</li></ol>
311	under the Compact, powers that the Executive Committee may not exercise;
312	21. Approve or disapprove a State's participation in the Compact based upon its
313	determination as to whether the State's Compact legislation departs in a material
314	manner from the Model Compact language;
315	22. Prepare and provide to the Participating States an annual report; and
316	23. Perform such other functions as may be necessary or appropriate to achieve the
317	purposes of this Compact consistent with the State regulation of PA licensure and
318	practice.
319 320 321 322 323 324	<ol> <li>D. Meetings of the Commission</li> <li>1. All meetings of the Commission that are not closed pursuant to this subsection shall be open to the public. Notice of public meetings shall be posted on the Commission's website at least thirty (30) days prior to the public meeting.</li> </ol>
325 326 327 328 329 330	<ol> <li>Notwithstanding subsection D.1 of this section, the Commission may convene a public meeting by providing at least twenty-four (24) hours prior notice on the Commission's website, and any other means as provided in the Commission's Rules, for any of the reasons it may dispense with notice of proposed rulemaking under Section 9.L.</li> </ol>
331 332 333	<ol> <li>The Commission may convene in a closed, non-public meeting or non- public part of a public meeting to receive legal advice or to discuss:</li> </ol>
334 335 336	<ul> <li>a. Non-compliance of a Participating State with its obligations under this Compact;</li> </ul>
337	<ul> <li>The employment, compensation, discipline or other matters,</li></ul>
338	practices or procedures related to specific employees or other
339	matters related to the Commission's internal personnel practices

340		and procedures;
341		
342	C.	Current, threatened, or reasonably anticipated litigation;
343		
344	d.	Negotiation of contracts for the purchase, lease, or sale of goods,
345		services, or real estate;
346		
347	e.	Accusing any person of a crime or formally censuring any person;
348		
349	f.	Disclosure of trade secrets or commercial or financial information
350		that is privileged or confidential;
351		
352	g.	Disclosure of information of a personal nature where disclosure
353		would constitute a clearly unwarranted invasion of personal
354		privacy;
355		
356	h.	Disclosure of investigative records compiled for law enforcement
357		purposes;
358		
359	i.	Disclosure of information related to any investigative reports
360		prepared by or on behalf of or for use of the Commission or other
361		committee charged with responsibility of investigation or
362		determination of compliance issues pursuant to this Compact;
363		determination of compilation located particularities and compact,
364	j.	Legal advice; or
365	,	g
366	k	Matters specifically exempted from disclosure by federal or
367	1	Participating States' statutes.
001		Tartopating States statetos.
368	4. If a m	eeting, or portion of a meeting, is closed pursuant to this provision, the
369	chair	of the meeting or the chair's designee shall certify that the meeting or
370	portio	n of the meeting may be closed and shall reference each relevant
371	exem	pting provision.
372	5. The C	commission shall keep minutes that fully and clearly describe all matters
373		ssed in a meeting and shall provide a full and accurate summary of actions
374		, including a description of the views expressed. All documents considered
375		nection with an action shall be identified in such minutes. All minutes and
376		nents of a closed meeting shall remain under seal, subject to release by a
377		ity vote of the Commission or order of a court of competent jurisdiction.
011	•	
378	E. Financing of	the Commission

1. The Commission shall pay, or provide for the payment of, the reasonable

expenses of its establishment, organization, and ongoing activities.

379

381 2. The Commission may accept any and all appropriate revenue sources, 382 donations, and grants of money, equipment, supplies, materials, and services. 383 3. The Commission may levy on and collect an annual assessment from each 384 Participating State and may impose Compact Privilege fees on Licensees of 385 Participating States to whom a Compact Privilege is granted to cover the cost of 386 the operations and activities of the Commission and its staff, which must be in a 387 total amount sufficient to cover its annual budget as approved by the Commission 388 each year for which revenue is not provided by other sources. The aggregate 389 annual assessment amount levied on Participating States shall be allocated 390 based upon a formula to be determined by Commission Rule. 391 a. A Compact Privilege expires when the Licensee's Qualifying License in 392 the Participating State from which the Licensee applied for the Compact 393 Privilege expires. 394 b. If the Licensee terminates the Qualifying License through which the 395 Licensee applied for the Compact Privilege before its scheduled 396 expiration, and the Licensee has a Qualifying License in another 397 Participating State, the Licensee shall inform the Commission that it is 398 changing to that Participating State the Participating State through which 399 it applies for a Compact Privilege and pay to the Commission any 400 Compact Privilege fee required by Commission Rule. 401 4. The Commission shall not incur obligations of any kind prior to securing the funds 402 adequate to meet the same; nor shall the Commission pledge the credit of any of 403 the Participating States, except by and with the authority of the Participating 404 State. 405 5. The Commission shall keep accurate accounts of all receipts and disbursements. 406 The receipts and disbursements of the Commission shall be subject to the 407 financial review and accounting procedures established under its bylaws. All 408 receipts and disbursements of funds handled by the Commission shall be subject 409 to an annual financial review by a certified or licensed public accountant, and the 410 report of the financial review shall be included in and become part of the annual 411 report of the Commission. 412 413 F. The Executive Committee 414 415 1. The Executive Committee shall have the power to act on behalf of the 416 Commission according to the terms of this Compact and Commission Rules.

2. The Executive Committee shall be composed of nine (9) members:

current membership of the Commission;

a. Seven voting members who are elected by the Commission from the

417

418

420	b. One ex-officio, nonvoting member from a recognized national PA
421	professional association; and
422	
423	c. One ex-officio, nonvoting member from a recognized national PA
424	certification organization.
425	3. The ex-officio members will be selected by their respective organizations.
426	
427	4. The Commission may remove any member of the Executive Committee as
428	provided in its bylaws.
429	
430	5. The Executive Committee shall meet at least annually.
431	
432 433	6. The Executive Committee shall have the following duties and responsibilities:
434	a. Recommend to the Commission changes to the Commission's Rules or
435	bylaws, changes to this Compact legislation, fees to be paid by Compact
436	Participating States such as annual dues, and any Commission Compact
437	fee charged to Licensees for the Compact Privilege;
438	
439	b. Ensure Compact administration services are appropriately provided,
440	contractual or otherwise;
441	
442	c. Prepare and recommend the budget;
443	
444 445	d. Maintain financial records on behalf of the Commission;
446	e. Monitor Compact compliance of Participating States and provide
447	compliance reports to the Commission;
448	
449	f. Establish additional committees as necessary;
450	
451	g. Exercise the powers and duties of the Commission during the interim
452	between Commission meetings, except for issuing proposed rulemaking or
453	adopting Commission Rules or bylaws, or exercising any other powers and
454	duties exclusively reserved to the Commission by the Commission's Rules;
455	and
456 457	h Derform other duties as provided in the Commission's Dules or hylous
457 458	h. Perform other duties as provided in the Commission's Rules or bylaws.
459	7. All meeting of the Executive Committee at which it votes or plans to vote on
460	matters in exercising the powers and duties of the Commission shall be open to
461	the public and public notice of such meetings shall be given as public meetings of
462	the Commission are given.
463	<u> </u>

8. The Executive Committee may convene in a closed, non-public meeting for the same reasons that the Commission may convene in a non-public meeting as set forth in Section 7.D 3 and shall announce the closed meeting as the Commission is required to under Section 7.D.4 and keep minutes of the closed meeting as the Commission is required to under Section 7.D.5.

#### G. Qualified Immunity, Defense, and Indemnification

- 1. The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person. The procurement of insurance of any type by the Commission shall not in any way compromise or limit the immunity granted hereunder.
- 2. The Commission shall defend any member, officer, executive director, employee, and representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or as determined by the commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.
- 3. The Commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.
- 4. Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where

504 the principal office of the Commission is located. The Commission may waive 505 venue and jurisdictional defenses in any proceedings as authorized by 506 Commission Rules. 507 508 5. Nothing herein shall be construed as a limitation on the liability of any Licensee for 509 professional malpractice or misconduct, which shall be governed solely by any 510 other applicable State laws. 511 6. Nothing herein shall be construed to designate the venue or jurisdiction to bring 512 513 actions for alleged acts of malpractice, professional misconduct, negligence, or 514 other such civil action pertaining to the practice of a PA. All such matters shall be 515 determined exclusively by State law other than this Compact. 516 517 7. Nothing in this Compact shall be interpreted to waive or otherwise 518 abrogate a Participating State's state action immunity or state action affirmative 519 defense with respect to antitrust claims under the Sherman Act, Clayton Act, or 520 any other State or federal antitrust or anticompetitive law or regulation. 521 522 8. Nothing in this Compact shall be construed to be a waiver of sovereign immunity 523 by the Participating States or by the Commission. 524 525 Section 8. Data System 526 A. The Commission shall provide for the development, maintenance, operation, and 527 utilization of a coordinated data and reporting system containing licensure, Adverse 528 Action, and the reporting of the existence of Significant Investigative Information on all 529 licensed PAs and applicants denied a License in Participating States. 530 Notwithstanding any other State law to the contrary, a Participating State shall submit a 531 uniform data set to the Data System on all PAs to whom this Compact is applicable 532 (utilizing a unique identifier) as required by the Rules of the Commission, including: 533 1. Identifying information; 534 2. Licensure data: 535 3. Adverse Actions against a License or Compact Privilege; 536 4. Any denial of application for licensure, and the reason(s) for such denial 537 (excluding the reporting of any Criminal history record information where 538 prohibited by law); 539 5. The existence of Significant Investigative Information; and 540 6. Other information that may facilitate the administration of this Compact, as

determined by the Rules of the Commission.

- 542 C. Significant Investigative Information pertaining to a Licensee in any Participating State 543 shall only be available to other Participating States.
  - D. The Commission shall promptly notify all Participating States of any Adverse Action taken against a Licensee or an individual applying for a License that has been reported to it.

    This Adverse Action information shall be available to any other Participating State.
  - E. Participating States contributing information to the Data System may, in accordance with State or federal law, designate information that may not be shared with the public without the express permission of the contributing State. Notwithstanding any such designation, such information shall be reported to the Commission through the Data System.
  - F. Any information submitted to the Data System that is subsequently expunged pursuant to federal law or the laws of the Participating State contributing the information shall be removed from the Data System upon reporting of such by the Participating State to the Commission.
  - G. The records and information provided to a Participating State pursuant to this Compact or through the Data System, when certified by the Commission or an agent thereof, shall constitute the authenticated business records of the Commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a Participating State.

#### Section 9. Rulemaking

- A. The Commission shall exercise its Rulemaking powers pursuant to the criteria set forth in this Section and the Rules adopted thereunder. Commission Rules shall become binding as of the date specified by the Commission for each Rule.
- B. The Commission shall promulgate reasonable Rules in order to effectively and efficiently implement and administer this Compact and achieve its purposes. A Commission Rule shall be invalid and have not force or effect only if a court of competent jurisdiction holds that the Rule is invalid because the Commission exercised its rulemaking authority in a manner that is beyond the scope of the purposes of this Compact, or the powers granted hereunder, or based upon another applicable standard of review.
- C. The Rules of the Commission shall have the force of law in each Participating State, provided however that where the Rules of the Commission conflict with the laws of the Participating State that establish the medical services a PA may perform in the Participating State, as held by a court of competent jurisdiction, the Rules of the Commission shall be ineffective in that State to the extent of the conflict.
- D. If a majority of the legislatures of the Participating States rejects a Commission Rule, by enactment of a statute or resolution in the same manner used to adopt this Compact within four (4) years of the date of adoption of the Rule, then such Rule shall have no

579 580		further force and effect in any Participating State or to any State applying to participate in the Compact.
581	E.	Commission Rules shall be adopted at a regular or special meeting of the Commission.
582 583 584	F.	Prior to promulgation and adoption of a final Rule or Rules by the Commission, and at least thirty (30) days in advance of the meeting at which the Rule will be considered and voted upon, the Commission shall file a Notice of Proposed Rulemaking:
585		1. On the website of the Commission or other publicly accessible platform; and
586 587		2. To persons who have requested notice of the Commission's notices of proposed rulemaking, and
588		3. In such other way(s) as the Commission may by Rule specify.
589	G.	The Notice of Proposed Rulemaking shall include:
590 591 592		<ol> <li>The time, date, and location of the public hearing on the proposed Rule and the proposed time, date and location of the meeting in which the proposed Rule will be considered and voted upon;</li> </ol>
593		2. The text of the proposed Rule and the reason for the proposed Rule;
594 595		<ol> <li>A request for comments on the proposed Rule from any interested person and the date by which written comments must be received; and</li> </ol>
596 597		4. The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing or provide any written comments.
598 599	Н.	Prior to adoption of a proposed Rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.
600 601	I.	If the hearing is to be held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.
602 603 604 605		<ol> <li>All persons wishing to be heard at the hearing shall as directed in the Notice of Proposed Rulemaking, not less than five (5) business days before the scheduled date of the hearing, notify the Commission of their desire to appear and testify at the hearing.</li> </ol>
606 607 608		2. Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.
609 610 611		<ol> <li>All hearings shall be recorded. A copy of the recording and the written comments, data, facts, opinions, and arguments received in response to the proposed rulemaking shall be made available to a person upon request.</li> </ol>

612		4. Nothing in this section shall be construed as requiring a separate hearing on each
613		proposed Rule. Proposed Rules may be grouped for the convenience of the
614		Commission at hearings required by this section.
615	J.	Following the public hearing the Commission shall consider all written and oral comments
616		timely received.
617	K.	The Commission shall, by majority vote of all delegates, take final action on the proposed
618		Rule and shall determine the effective date of the Rule, if adopted, based on the
619		Rulemaking record and the full text of the Rule.
620		1. If adopted, the Rule shall be posted on the Commission's website.
621 622		2. The Commission may adopt changes to the proposed Bule provided the changes
623		<ol><li>The Commission may adopt changes to the proposed Rule provided the changes do not enlarge the original purpose of the proposed Rule.</li></ol>
624		
625		3. The Commission shall provide on its website an explanation of the reasons for
626		substantive changes made to the proposed Rule as well as reasons for
627		substantive changes not made that were recommended by commenters.
628 629		4. The Commission shall determine a reasonable effective date for the Rule. Except
630		for an emergency as provided in subsection L, the effective date of the Rule shall
631		be no sooner than thirty (30) days after the Commission issued the notice that it
632		adopted the Rule.
633	L.	Upon determination that an emergency exists, the Commission may consider and adopt
634		an emergency Rule with twenty-four (24) hours prior notice, without the opportunity for
635		comment, or hearing, provided that the usual rulemaking procedures provided in this
636		Compact and in this section shall be retroactively applied to the Rule as soon as
637		reasonably possible, in no event later than ninety (90) days after the effective date of the
638		Rule. For the purposes of this provision, an emergency Rule is one that must be adopted
639		immediately by the Commission in order to:
640		1. Meet an imminent threat to public health, safety, or welfare;
641		2. Prevent a loss of Commission or Participating State funds;
642		3. Meet a deadline for the promulgation of a Commission Rule that is established by
643		federal law or Rule; or
644		4. Protect public health and safety.
645	M.	The Commission or an authorized committee of the Commission may direct revisions to a
646		previously adopted Commission Rule for purposes of correcting typographical errors,
647		errors in format, errors in consistency, or grammatical errors. Public notice of any
648		revisions shall be posted on the website of the Commission. The revision shall be subject

649 to challenge by any person for a period of thirty (30) days after posting. The revision may 650 be challenged only on grounds that the revision results in a material change to a Rule. A challenge shall be made as set forth in the notice of revisions and delivered to the 652 Commission prior to the end of the notice period. If no challenge is made, the revision will 653 take effect without further action. If the revision is challenged, the revision may not take 654 effect without the approval of the Commission.

N. No Participating State's rulemaking requirements shall apply under this Compact.

656

657

658

659

660

661

662

663

664

665

666

667

668

669

670

671

672

673

674

675

655

651

## Section 10. Oversight, Dispute Resolution, and Enforcement

## A. Oversight

- 1. The executive and judicial branches of State government in each Participating State shall enforce this Compact and take all actions necessary and appropriate to implement the Compact.
- 2. Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct or any such similar matter.
- 3. The Commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the Compact or the Commission's Rules and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the Commission with service of process shall render a judgment or order in such proceeding void as to the Commission, this Compact, or Commission Rules.

## B. Default, Technical Assistance, and Termination

676 677

678

679

680

681

682

683

1. If the Commission determines that a Participating State has defaulted in the performance of its obligations or responsibilities under this Compact or the Commission Rules, the Commission shall provide written notice to the defaulting State and other Participating States. The notice shall describe the default, the proposed means of curing the default and any other action that the Commission may take and shall offer remedial training and specific technical assistance regarding the default.

684 685

2. If a State in default fails to cure the default, the defaulting State may be terminated from this Compact upon an affirmative vote of a majority of the

686 delegates of the Participating States, and all rights, privileges and benefits 687 conferred by this Compact upon such State may be terminated on the 688 effective date of termination. A cure of the default does not relieve the 689 offending State of obligations or liabilities incurred during the period of 690 default. 691 3. Termination of participation in this Compact shall be imposed only after all 692 other means of securing compliance have been exhausted. Notice of intent to 693 suspend or terminate shall be given by the Commission to the governor, the 694 majority and minority leaders of the defaulting State's legislature, and to the 695 Licensing Board(s) of each of the Participating States. 696 4. A State that has been terminated is responsible for all assessments. 697 obligations, and liabilities incurred through the effective date of termination, 698 including obligations that extend beyond the effective date of termination. 699 5. The Commission shall not bear any costs related to a State that is found to 700 be in default or that has been terminated from this Compact, unless agreed 701 upon in writing between the Commission and the defaulting State. 702 6. The defaulting State may appeal its termination from the Compact by the 703 Commission by petitioning the U.S. District Court for the District of Columbia 704 or the federal district where the Commission has its principal offices. The 705 prevailing member shall be awarded all costs of such litigation, including 706 reasonable attorney's fees. 707 7. Upon the termination of a State's participation in the Compact, the State shall 708 immediately provide notice to all Licensees within that State of such 709 termination: 710 a. Licensees who have been granted a Compact Privilege in that State 711 shall retain the Compact Privilege for one hundred eighty (180) days 712 following the effective date of such termination. 713 714 b. Licensees who are licensed in that State who have been granted a 715 Compact Privilege in a Participating State shall retain the Compact 716 Privilege for one hundred eighty (180) days unless the Licensee also 717 has a Qualifying License in a Participating State or obtains a Qualifying 718 License in a Participating State before the one hundred eighty (180)-day 719 period ends, in which case the Compact Privilege shall continue. 720 C. Dispute Resolution 721 1. Upon request by a Participating State, the Commission shall attempt to 722 resolve disputes related to this Compact that arise among Participating States

and between participating and non-Participating States.

2. The Commission shall promulgate a Rule providing for both mediation and

723

#### D. Enforcement

- 1. The Commission, in the reasonable exercise of its discretion, shall enforce the provisions of this Compact and Rules of the Commission.
- 2. If compliance is not secured after all means to secure compliance have been exhausted, by majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices, against a Participating State in default to enforce compliance with the provisions of this Compact and the Commission's promulgated Rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
- 3. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or State law.

#### E. Legal Action Against the Commission

- 1. A Participating State may initiate legal action against the Commission in the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of the Compact and its Rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
- 2. No person other than a Participating State shall enforce this Compact against the Commission.

## Section 11. Date of Implementation of the PA Licensure Compact Commission

- A. This Compact shall come into effect on the date on which this Compact statute is enacted into law in the seventh Participating State.
  - On or after the effective date of the Compact, the Commission shall
    convene and review the enactment of each of the States that enacted the
    Compact prior to the Commission convening ("Charter Participating States") to
    determine if the statute enacted by each such Charter Participating State is
    materially different than the Model Compact.
    - a. A Charter Participating State whose enactment is found to be materially different from the Model Compact shall be entitled to the default process

763 set forth in Section 10.B. 764 b. If any Participating State later withdraws from the Compact or its 765 participation is terminated, the Commission shall remain in 766 existence and the Compact shall remain in effect even if the 767 number of Participating States should be less than seven. 768 Participating States enacting the Compact subsequent to the 769 Commission convening shall be subject to the process set forth in 770 Section 7.C.21 to determine if their enactments are materially 771 different from the Model Compact and whether they qualify for 772 participation in the Compact. 773 2. Participating States enacting the Compact subsequent to the seven initial 774 Charter Participating States shall be subject to the process set forth in 775 Section 7.C.21 to determine if their enactments are materially different from 776 the Model Compact and whether they qualify for participation in the Compact. 777 3. All actions taken for the benefit of the Commission or in furtherance of the 778 purposes of the administration of the Compact prior to the effective date of 779 the Compact or the Commission coming into existence shall be considered to 780 be actions of the Commission unless specifically repudiated by the 781 Commission. 782 B. Any State that joins this Compact shall be subject to the Commission's Rules and bylaws 783 as they exist on the date on which this Compact becomes law in that State. Any Rule that 784 has been previously adopted by the Commission shall have the full force and effect of law 785 on the day this Compact becomes law in that State. 786 C. Any Participating State may withdraw from this Compact by enacting a statute repealing 787 the same. 788 1. A Participating State's withdrawal shall not take effect until one hundred eighty 789 (180) days after enactment of the repealing statute. During this one hundred 790 eighty (180) day-period, all Compact Privileges that were in effect in the 791 withdrawing State and were granted to Licensees licensed in the withdrawing 792 State shall remain in effect. If any Licensee licensed in the withdrawing State is 793 also licensed in another Participating State or obtains a license in another 794 Participating State within the one hundred eighty (180) days, the Licensee's 795 Compact Privileges in other Participating States shall not be affected by the 796 passage of the one hundred eighty (180) days. 797 2. Withdrawal shall not affect the continuing requirement of the State Licensing 798 Board(s) of the withdrawing State to comply with the investigative, and Adverse 799 Action reporting requirements of this Compact prior to the effective date of

800

withdrawal.

- 3. Upon the enactment of a statute withdrawing a State from this Compact, the State shall immediately provide notice of such withdrawal to all Licensees within that State. Such withdrawing State shall continue to recognize all licenses granted pursuant to this Compact for a minimum of one hundred eighty (180) days after the date of such notice of withdrawal.
- D. Nothing contained in this Compact shall be construed to invalidate or prevent any PA licensure agreement or other cooperative arrangement between Participating States and between a Participating State and non-Participating State that does not conflict with the provisions of this Compact.
- E. This Compact may be amended by the Participating States. No amendment to this Compact shall become effective and binding upon any Participating State until it is enacted materially in the same manner into the laws of all Participating States as determined by the Commission.

## Section 12. Construction and Severability

- A. This Compact and the Commission's rulemaking authority shall be liberally construed so as to effectuate the purposes, and the implementation and administration of the Compact. Provisions of the Compact expressly authorizing or requiring the promulgation of Rules shall not be construed to limit the Commission's rulemaking authority solely for those purposes.
- B. The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is held by a court of competent jurisdiction to be contrary to the constitution of any Participating State, a State seeking participation in the Compact, or of the United States, or the applicability thereof to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this Compact and the applicability thereof to any other government, agency, person or circumstance shall not be affected thereby.
- C. Notwithstanding subsection B or this section, the Commission may deny a State's participation in the Compact or, in accordance with the requirements of Section10.B, terminate a Participating State's participation in the Compact, if it determines that a constitutional requirement of a Participating State is, or would be with respect to a State seeking to participate in the Compact, a material departure from the Compact. Otherwise, if this Compact shall be held to be contrary to the constitution of any Participating State, the Compact shall remain in full force and effect as to the remaining Participating States and in full force and effect as to the Participating State affected as to all severable matters.

- A. Nothing herein prevents the enforcement of any other law of a Participating State that is not inconsistent with this Compact.
- B. Any laws in a Participating State in conflict with this Compact are superseded to the extent of the conflict.
- C. All agreements between the Commission and the Participating States are binding in accordance with their terms.